

# FULBRIGHT & JAWORSKI L.L.P.

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June 21, 2004

CERTIFICATE OF MAILING 37 C.F.R 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the detection:

June 21, 2004

Date

Robert E. Hanson

MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/730,764 entitled "PLANTS AND SEEDS OF CORN

VARIETY LH370" – Terry J. Foley Our reference: HFSC:014US Client reference: 34-52 (51645)

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A26 and C1-C29.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/HFSC:014US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Reg. No. 42,628

REH/kmv

Encl.: as noted



### N THE STATES PATENT AND TRADEMARK OFFICE

In re Application of: Terry J. Foley

Serial No.: 10/730,764

Filed: December 8, 2003

For: PLANTS AND SEEDS OF CORN

VARIETY LH370

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: HFSC:014US

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June 21, 2004

Date

Kobert E. Hanson

## **INFORMATION DISCLOSURE STATEMENT**

### MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

LH370 was developed from an initial single cross of LH283 x HC40 followed by selfing and use of the pedigree system of plant breeding. Yield, stalk quality, root quality, disease tolerance, late plant greenness, late plant intactness, ear retention, pollen shedding ability, silking ability and corn borer tolerance were the criteria used to determine the rows from which ears were selected during the development of LH370.

LH283 is a proprietary field corn inbred line of Holden's Foundation Seeds, L.L.C., of Williamsburg, Iowa. LH283 was awarded PVPA certificate #970078 on May 29, 1998. U.S. Patent No. 5,773,683, issued June 30, 1998, also protects LH283. HC40 (LH82 x LH93), is a proprietary inbred line of F & E Enterprises, L.L.C., Lafayette, Indiana. There is an agreement between Holden's Foundation Seeds, L.L.C. and F & E Enterprises, L.L.C. which gives Holden's permission to use HC40 for breeding. On March 20, 1998 PVP application #9800167 was filed for HC40, however, the application was abandoned on July 1, 2002.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/HFSC:014US.

Applicant respectfully requests that the listed documents be made of record in the present

case.

Respectfully submitted,

Røbert E. Hanson Reg. No. 42,628

Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

June 21, 2004

Form PTO-1449 (modified 5)
JUN 2 3 2004

List of Patrats and Publications for Applicant's

(Use several sheets if necessary)

Information Statement

Atty. Docket No. HFSC:014US

Serial No. 10/730,764

Applicant

Terry J. Foley

Filing Date: December 8, 2003 Group: Unknown

U.S. Patent Documents

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Foreign Patent Documents

See Page 2

Other Art See Page 2

**U.S. Patent Documents** 

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	3,903,645	9/09/75	Bradner	47	58	3/29/74
	A2	4,368,592	1/18/83	Welch	47	58	7/27/81
	A3	4,517,763	6/21/85	Beversdorf et al.	47	58	5/11/83
	A4	4,581,847	4/15/86	Hibberd et al.	47	58	4/15/86
	A5	4,594,810	6/17/86	Troyer	47	58	2/21/85
	A6	4,607,453	8/26/86	Troyer	47	58	2/21/85
	A7	4,626,610	12/02/86	Sun	800	1	1/11/85
	A8	4,629,819	12/16/86	Lindsey	800	1	4/26/85
	A9	4,642,411	2/10/87	Hibberd et al.	800	1	2/21/85
	A10	4,654,466	3/31/87	Lindsey	800	1	4/29/85
	A11	4,658,084	4/14/87	Beversdorf et al.	800	1	11/14/85
-	A12	4,658,085	4/14/87	Beversdorf et al.	800	1	4/14/87
	A13	4,677,246	6/30/87	Armond et al.	800	1	4/26/85
	A14	4,731,499	4/15/88	Puskaric et al.	800	1	1/29/87
	A15	4,737,596	4/12/88	Seifert et al.	800	1	1/29/87
	A16	5,276,262	1/04/94	Arthur and Johnson	800	200	11/25/91
	A17	5,276,263	1/04/94	Foley	800	200	12/06/91
	A18	5,304,717	4/19/94	Miller	800	200	12/06/91
	A19	5,436,387	7/25/95	Arthur et al.	800	200	10/21/93
	A20	5,523,520	6/04/96	Hunsperger and Holtrop	800	200	6/24/94
	A21	5,714,671	2/03/98	Peters	800	200	7/12/96
	A22	5,731,504	2/24/98	Foley	800	200	10/04/96
	A23	5,750,850	5/12/98	Foley	800	200	12/05/96

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**EXAMINER:** 

**DATE CONSIDERED:** 

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No. Serial No. HFSC:014US 10/730,764	
List of Patents and Publications for	Applicant's	Applicant	
		Terry J. Foley	
Information Disclosure Sa	<b>FATEMENT</b>		
		Filing Date:	Group:
(Use several sheets if necessary)		December 8, 2003	Unknown
U.S. Patent Documents	Foreign P	atent Documents	Other Art
See Page 1		ee Page 2	See Page 2

Exam.	Ref.	Art (Including Author, Title, Date Pertinent Pages, Etc.)  Citation			
Init.	Des.				
	C8	Gaillard et al., "Optimization of maize microspore isolation and culture condition for reliable plant regeneration," Plant Cell Reports, 10(2):55, 1991.			
	C9	Gerdes and Tracy, "Diversity of historically important sweet corn inbredsas estimated by rflp's, morphology, isozymes, and pedigree," <i>Crop Science</i> , 34(1):26-33, 1994.			
	C10	Gordon-Kamm et al., "Transformation of maize cells and regeneration of fertile transgenic plants," <i>The Plant Cell</i> , 2:603-618, 1990.			
	C11	Green & Phillips, "Plant regeneration from tissue cultures of maize," Crop Science, 15:417-421, 1975.			
	C12	Green & Rhodes, "Plant regeneration in tissue cultures of maize," <i>Maize for Biological Research</i> , ed. W.F. Sheridan, A Special Publication of the Plant Molecular Biology Association, pp. 367-372, 1982.			
	C13	Hallauer et al., "Corn Breeding," Corn and Corn Improvement, eds., Sprague et al., Madison, Wisconsin, Ch. 8, pp. 463-564, 1988.			
	C14	Kraft et al., "Linkage disequilibrium and fingerprinting in sugar beet," Theor. Appl. Genet., 101:323-326, 2000.			
	C15	Larson & Hanway, "Corn Production," Corn and Corn Improvement, ed. G.F. Sprague, No. 18 in Agronomy Series, American Society of Agronomy, Inc., Madison, Wisconsin, pp. 625-669, 1977.			
	C16	Meghji et al., "Inbreeding depression, inbred and hybrid grain yields, and other traits of maize genotypes representing three eras," Crop Science, 24:545-549, 1984.			
	C17	Pace et al., "Anther culture of maize and the visualization of embryogenic microspores by fluorescent microscopy," <i>Theoretical and Applied Genetics</i> , 73:863-869, 1987.			
	C18	Phillips et al., "Cell/tissue culture and in vitro manipulation," Corn and Corn Improvement, eds., Sprague et al., Ch. 5, pp. 345-387, 1988.			
	C19	Poehlman & Sleper (eds), Breeding Field Crops, 4th Ed., pp. 172-175, 1995.			
	C20	Poehlman, <i>Breeding Field Crops</i> , 3rd ed., AVI Publishing Company, Westport, Connecticut, pp. 469-481, 1987.			

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C21

EXAMINER: DATE CONSIDERED:

Newsletter, Vol. 60, 1986.

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Rao et al., "Somatic embryogenesis in glume callus cultures," Maize Genetics Cooperation

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U.S. Patent Documents Foreign		Patent Documents Other Art		
See Page 1		ee Page 2	See Page 2	

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)					
Exam. Init.	Ref. Des.	Citation			
	C22	Rhodes et al., Genetically transformed maize plants from protoplasts," Science, 240:204-207, 1988.			
	C23	Rieger et al., Glossary of Genetics and Cytogenetics, Classical and Molecular, Springer-Verlag, Berlin, p. 116, 1976.			
	C24	Smith and Smith, "Restriction fragment length polymorphisms can differentiate among U.S. maize hybrids," <i>Crop Sci</i> , 31:893-899, 1991.			
	C25	Sprague & Eberhart, "Corn Breeding," Corn and Corn Improvements, ed. G.F. Sprague, No. 18 in Agronomy Series, American Society of Agronomy, Inc., Madison, Wisconsin, pp. 305-323, 1977.			
	C26	Troyer, "A retrospective view of corn genetic resources," Journal of Heredity, 81:17-24, 1990.			
	C27	Wright, "Commercial hybrid seed," <i>Hybridization of Crop Plants</i> , Fehr et al., eds. Am. Soc. of agronCrop Sci. Soc. of Am., Madison, Wisconsin, Ch. 8, pp. 161-176, 1980.			
<del>-                                    </del>	C28	Wych, "Production of hybrid seed corn," Corn and Corn Improvement, eds., Sprague et al, editors, Madison, Wisconsin, Ch. 9, pp. 565-607, 1988.			
	C29	Plant Variety Protection Certificate No. 9700078 for Inbred Corn Line LH283, issued May 29, 1998.			

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